EXHIBIT 5

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UNITED STATES DISTRICT COURT

NORTHERN DISTRICT OF CALIFORNIA

SAN FRANCISCO DIVISION

Case No. 3:17-cv-00939-WHA

WAYMO LLC,

Plaintiff,

v.

UBER TECHNOLOGIES, INC.;

OTTOMOTTO LLC;

OTTO TRUCKING,

Defendants.

HIGHLY CONFIDENTIAL, ATTORNEYS' EYES ONLY
VIDEOTAPED DEPOSITION OF

NICHOLAS GICINTO

DATE TAKEN: DECEMBER 21, 2017

REPORTED BY:

PAUL J. FREDERICKSON, CCR, CSR

JOB NO. 2771353

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1	chat feature. It was not always convenient to	09:21:50
2	make a phone call. So I would use the chat	09:21:53
3	if if I needed to send a quick message or if	09:21:57
4	I needed to collaborate with my colleagues or	09:22:00
5	my teammates, you know, on an issue where	09:22:03
6	multiple opinions would be useful and where	09:22:07
7	sometimes rapid communication was was	09:22:13
8	needed.	09:22:16
9	Q. You could do a group chat feature	09:22:18
10	through Wickr; is that right?	09:22:20
11	A. Correct.	09:22:21
12	Q. Is it fair to say that the primary	09:22:22
13	benefits of using Wickr were that the	09:22:24
14	communications were ephemeral and secure or	09:22:27
15	encrypted?	09:22:30
16	A. From my perspective, the primary	09:22:33
17	benefit was that the communication was secure.	09:22:38
18	Q. Was another benefit that they	09:22:40
19	were that the communications were ephemeral?	09:22:41
20	So they couldn't be discovered later?	09:22:43
21	A. I mean, it was a feature. And	09:22:45
22	ephemerality is a feature of Wickr, yes. It	09:22:47
23	isn't always necessarily a benefit if it's	09:22:51
24	difficult, when you're collaborating on a	09:22:54
25	project, to remember the conversation when	09:22:56

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1	helpful for	them for them to assess the	09:38:13
2	state of the	e technology and how well the cars	09:38:17
3	performed or	the road as a litmus test against	09:38:19
4	where our ow	on vehicles were and how they	09:38:22
5	performed.		09:38:24
6	Q.	Did your group do that	09:38:25
7	surveillance	2?	09:38:28
8	Α.	Yes.	09:38:28
9	Q.	Was that on one occasion or more	09:38:34
10	than one occ	casion?	09:38:35
11	Α.	Related to Waymo vehicles, one	09:38:38
12	occasion.		09:38:40
13	Q.	And can you tell me who went on	09:38:42
14	that trip, a	and how many days did it transpire	09:38:44
15	over?		09:38:48
16	Α.	Again, approximately three to five	09:38:49
17	days. It wa	as from SSG, Jake Nocon, Ed Russo.	09:38:51
18	Q.	Anyone else?	09:38:59
19	Α.	Not from SSG. Not from Uber.	09:39:00
20	Q.	Okay.	09:39:00
21		Were there any LAT operatives on	09:39:03
22	these trips?		09:39:05
23	A.	I don't know.	09:39:08
24	Q.	As far as you know, in connection	09:39:10
25	with the Way	mo in connection with the	09:39:11

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1	Α.	Yes. We played it over Zoom.	09:52:47
2	Q.	What was the feedback that you got	09:52:50
3	from Mr. Lev	andowski or Mr. Ron?	09:52:52
4	Α.	As I recall, it was rather	09:52:54
5	uneventful.		09:52:57
6	Q.	How long did that meeting last?	09:52:58
7	Α.	I don't recall.	09:53:00
8	Q.	Was it more than an hour?	09:53:01
9	Α.	I don't think so.	09:53:03
10	Q.	Was there any followup with	09:53:05
11	respect to t	he Waymo briefing or the Waymo	09:53:07
12	surveillance	after the April 13 or 14 meeting?	09:53:10
13	Α.	No, there were no further requests	09:53:14
14	related to W	aymo after that, that I recall.	09:53:16
15	Q.	After April 13 or 14, did you do	09:53:22
16	any surveill	ance of Waymo vehicles or did	09:53:26
17	anyone on yo	ur team do that?	09:53:30
18	Α.	No.	09:53:30
19	Q.	What's the April 7, 2017, notation	09:53:39
20	that you hav	re here? It says: briefing	09:53:42
21	for TK." Ca	n you tell me what that is?	09:53:48
22	Α.	Sure.	09:53:52
23		After the presentation to Anthony	09:53:52
24	Levandowski	on March 30 or 31 related to the	09:53:53
25	condensed	video, Mr. Levandowski asked	09:53:56

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1	at the the email from Joe Sullivan to	10:08:02
2	refresh my memory on that.	10:08:08
3	Q. Aside from the email from Joe	10:08:09
4	Sullivan, do you know of any reason why the	10:08:13
5	company stopped using Wickr?	10:08:16
6	A. No.	10:08:19
7	Q. Aside from doing surveillance on	10:08:20
8	the two occasions of the vehicles and	10:08:48
9	surveillance of the Waymo vehicles on the one	10:08:52
10	occasion that you mentioned, has your group or	10:08:55
11	are you aware of anyone else at Uber doing any	10:08:59
12	surveillance of competitors' autonomous	10:09:03
13	vehicles?	10:09:07
14	A. So you're asking me if anyone at	10:09:14
15	Uber or including my team did any public	10:09:18
16	observations of any other vehicles besides	10:09:21
17	and Waymo?	10:09:26
18	Q. Any kind of surveillance of other	10:09:28
19	vehicles, autonomous vehicles, yes, including	10:09:30
20	Waymo vehicles.	10:09:39
21	A. So I am not aware of anyone in the	10:09:42
22	company doing any any public observations of	10:09:47
23	any competitors' vehicles with the exception of	10:09:53
24	what we've previously discussed.	10:09:56
25	Q. Now, you said public observations	10:10:04

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1	of competitor vehicles. Were there any	_
2	kinds of other observations that you are	10:10:10
3	excluding from your answer with respect	to 10:10:12
4	competitor vehicles?	10:10:16
5	A. No.	10:10:16
6	Q. How many folks are in the S	SG 10:10:43
7	team? Maybe you can list them for me.	10:10:45
8	A. Presently?	10:10:56
9	Q. Yes.	10:10:56
10	A. Myself, Ed Russo, Jake Noco	n, 10:11:00
11	Julie Ambrose, Shawnee Delaney, Anna Chu	ng, 10:11:03
12	Randy Wanis and Mat VanWaes.	10:11:12
13	Q. And how long have you been	the 10:11:32
14	lead of the group?	10:11:32
15	A. Since we hired Jake and Ed	in 10:11:37
16	approximately July or August of 2016.	10:11:42
17	Q. Was that when the group was	10:11:44
18	formed?	10:11:47
19	A. More or less. I was hired	in 10:11:48
20	March of 2016, and there was no team. I	was 10:11:49
21	just an individual contributor.	10:11:53
22	Q. How did you get the job at	Uber? 10:12:01
23	A. Mat Henley hired me.	10:12:04
24	Q. How did Mat Henley know abo	out you? 10:12:06
25	A. I was referred by a mutual	10:12:09

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1	Q.	Yes.	10:34:34
2	Α.	Yes, I understand.	10:34:37
3	Q.	Tell me what the Nextcloud system	10:34:45
4	is generally	7.	10:34:47
5	Α.	It was a virtual storage device or	10:34:50
6	system which	n allowed SSG members and others in	10:34:58
7	security to	collaborate on projects safely and	10:35:09
8	securely.		10:35:14
9	Q.	This was a system that was	10:35:15
10	separate fro	om Uber's computer systems and	10:35:16
11	servers; rig	ght?	10:35:19
12	A.	That's correct.	10:35:20
13	Q.	And what was the purpose of having	10:35:21
14	this kind of	system?	10:35:22
15	A.	To maximize the the security	10:35:25
16	and protecti	on of the data.	10:35:27
17	Q.	Did you believe that Uber's	10:35:30
18	computers ar	nd systems were not secure enough?	10:35:33
19	A.	I think we saw after the Atlassian	10:35:36
20	hack and the	e OneLogin hack that there were	10:35:39
21	certainly vu	alnerabilities within Uber systems,	10:35:43
22	and we didn'	t what this data to be compromised	10:35:46
23	or vulnerabl	Le.	10:35:51
24	Q.	Whose idea was it to use the	10:35:52
25	Nextcloud sy	rstem?	10:35:56

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1	A. No.	10:37:28
2	Q. Okay. Which one of them are	10:37:31
3	outside of the SSG group?	10:37:32
4	A. Well, Mat Henley is director of	10:37:34
5	Threat Ops. Susan Chiang was our business	10:37:37
6	operations liaison to security, reported to Joe	10:37:41
7	Sullivan.	10:37:47
8	Q. Did anyone outside of the groups	10:37:47
9	within security have access to Nextcloud?	10:37:57
10	A. Not that I recall, no.	10:38:01
11	Q. Was there a system in place prior	10:38:02
12	to Nextcloud to store sensitive information	10:38:05
13	outside of Uber's computer systems?	10:38:08
14	A. What do you mean by "system"?	10:38:11
15	Q. Cloud service or other storage	10:38:15
16	repository?	10:38:17
17	A. There was no formal system. I	10:38:18
18	think the team would use Dropboxes for storage	10:38:22
19	of data.	10:38:26
20	Q. And that was storage of sensitive	10:38:28
21	information that wasn't information that was	10:38:30
22	stored on Uber's computer systems?	10:38:33
23	A. It it's possible that it was	10:38:40
24	stored on Uber's computer systems or	10:38:42
25	transferred from. The Drop the Dropbox	10:38:45

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1	accounts were connected to Uber laptops. So at	10:38:50
2	some point that information did touch Uber	10:38:54
3	systems.	10:38:58
4	Q. Is it your understanding that	10:38:59
5	there was some information, sensitive	10:39:00
6	information that was stored on Dropbox that was	10:39:04
7	not on Uber's system?	10:39:06
8	A. It was there is information	10:39:11
9	that was stored on Dropbox. Whether or not	10:39:13
10	that information wasn't also on Uber systems or	10:39:17
11	didn't touch Uber systems, it's difficult for	10:39:21
12	me to say.	10:39:24
13	Q. Was the Nextcloud system	10:39:29
14	implemented because there was a view that	10:39:32
15	Dropbox wasn't secure enough?	10:39:35
16	A. Nextcloud was a was a	10:39:38
17	next-level security type of a system, yes.	10:39:41
18	Q. What was the company that ran	10:39:45
19	ran that system? Were they called Nextcloud?	10:39:47
20	A. It was the Nextcloud was the	10:39:50
21	platform. It was kind of the this is a	10:39:51
22	nontechnical term but kind of the operating	10:39:55
23	system, for lack of a better term. Again, I'm	10:39:58
24	not very technical. So	10:40:00
25	Q. Do you know what company ran that	10:40:04

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1	renewal for the system, and Mat was not	10:42:11
2	particularly happy with the system. And what	10:42:15
3	we also possessed at that time, which we didn't	10:42:21
4	possess when we began the Nextcloud project,	10:42:25
5	was the ability to transfer files securely via	10:42:29
6	Wickr. We had that ability at the time that he	10:42:32
7	asked me to shut down the the Nextcloud	10:42:36
8	system.	10:42:41
9	We didn't have Wickr didn't	10:42:42
10	have that capability or functionality in the	10:42:44
11	fall of 2016.	10:42:47
12	Q. What kind of information was	10:42:51
13	stored on the Nextcloud system?	10:42:54
14	A. SSG budgets. SSG sort of raw	10:43:06
15	information that was collected. Some finished	10:43:12
16	product that was disseminated to the business.	10:43:21
17	Background research.	10:43:26
18	Q. Anything else you can think of?	10:43:37
19	A. I I think that's a generally	10:43:39
20	sort of covers it.	10:43:43
21	Q. Generally speaking, was all of the	10:43:47
22	work product that SSG created during the time	10:43:48
23	that Nextcloud was in place stored on the	10:43:50
24	Nextcloud system?	10:43:54
25	A. I'm sorry. You have to run that	10:43:56

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1	one by me again.	10:43:57
2	Q. Sure.	10:43:58
3	Generally speaking, was all of the	10:43:59
4	work product that SSG created during the time	10:44:01
5	that the Nextcloud system was in place also	10:44:03
6	stored on the Nextcloud system?	10:44:07
7	A. Generally, I would say that's	10:44:13
8	fair. I'm sure there were exceptions.	10:44:18
9	Q. But as a default manner of when	10:44:19
10	you would create work product within SSG, you	10:44:23
11	would store that on the Nextcloud system as	10:44:26
12	opposed to on Uber's computer system. Is that	10:44:29
13	fair?	10:44:31
14	A. I I think the Nextcloud system	10:44:34
15	was more of a of a repository for the raw	10:44:35
16	information so that the team members could	10:44:39
17	collaborate on actually producing a product,	10:44:42
18	but that once the product was finished, that it	10:44:45
19	was transferred over to Uber's system so it	10:44:47
20	could actually be of use or value to someone.	10:44:51
21	Q. What happened to all of the	10:44:57
22	information that was stored on Nextcloud once	10:44:58
23	you stopped using that system?	10:45:00
24	A. Prior to prior to shutting it	10:45:01
25	down, it was transferred to a different media.	10:45:06

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1	Q.	Which was what?	10:45:08
2	Α.	Onto a thumb drive.	10:45:09
3	Q.	Is that in your possession?	10:45:10
4	Α.	Yes.	10:45:10
5	Q.	Does anyone else have access to	10:45:12
6	that thumb d	rive?	10:45:14
7	А.	Anyone who asked for it or had a	10:45:16
8	need to could	d have access to it. I also	10:45:18
9	transferred a	all of the files from the thumb	10:45:20
10	drive onto my	y Uber laptop.	10:45:23
11	Q.	Just onto the local hard drive?	10:45:25
12	А.	Correct.	10:45:27
13		MR. UMHOFER: How about Wilmer?	10:45:31
14		THE WITNESS: I'm sorry. Thank	10:45:32
15	you.		10:45:34
16	Α.	The thumb drive and my laptop were	10:45:35
17	imaged by Wi	lmer Hale in late July of 2017.	10:45:38
18	Q.	Do you know whether that thumb	10:45:48
19	drive or an	image of it has been given to	10:45:49
20	attorneys in	this litigation?	10:45:52
21	Α.	I don't know.	10:45:54
22	Q.	You said it cost approximately	10:45:54
23	60,000 dolla:	rs per year for the Nextcloud	10:46:03
24	system; is tl	hat right?	10:46:04
25	Α.	That was the renewal to maintain	10:46:05

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1	Α.	No.	10:47:39
2	Q.	All right.	10:47:39
3		What did you talk to Mat Henley	10:47:42
4	about?		10:47:44
5	Α.	I asked Mat Henley whether he was	10:47:45
6	aware of any	thing that Threat Ops did to	10:47:47
7	collect comp	petitive intelligence on Waymo or	10:47:51
8	Project Chau	affeur.	10:47:56
9	Q.	Okay.	10:47:57
10		What did he tell you?	10:47:58
11	Α.	He said that he was not aware of	10:47:59
12	anything and	d that Threat Ops, to his knowledge,	10:48:02
13	did not enga	age in any collection of competitive	10:48:05
14	intelligence	e on Waymo or Project Chauffeur.	10:48:09
15	Q.	Did he tell you anything else?	10:48:11
16	Α.	He did not. Well pardon me.	10:48:13
17	With the exc	ception of he confirmed that there	10:48:20
18	was never ar	ny attempt to gather nonpublic	10:48:23
19	information,	including trade secrets of Waymo	10:48:25
20	or Project (Chauffeur.	10:48:29
21	Q.	Did you ask him about whether he	10:48:45
22	had any know	vledge about Mr. Levandowski's	10:48:48
23	downloading	of Google confidential information?	10:48:51
24	A.	No.	10:48:56
25	Q.	Did you ask him whether he had	10:49:03

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1	ever investigated that subject?	10:49:06
2	A. No.	10:49:06
3	Q. You had a conversation with	10:49:25
4	Mr. Maher as well on topic number 5?	10:49:26
5	A. Correct.	10:49:30
6	Q. Tell me about that conversation.	10:49:30
7	A. I asked him whether Marketplace	10:49:33
8	Analytics or whether Mr. Maher was aware of the	10:49:38
9	collection of any competitive intelligence	10:49:41
10	Way on Waymo or Project Chauffeur by Uber	10:49:43
11	or any person or entity acting on Uber's	10:49:46
12	behalf, including any efforts by Uber or any	10:49:50
13	person or entity acting on Uber's behalf to	10:49:54
14	gather nonpublic information including trade	10:49:56
15	secrets of Waymo or Project Chauffeur. And he	10:49:58
16	said that he was not aware of anything and that	10:50:00
17	MA did not, to his knowledge, participate in	10:50:03
18	any such activities.	10:50:05
19	Q. Did you ask him about any of the	10:50:08
20	allegations related to Mr. Levandowski's theft	10:50:09
21	of Google confidential information?	10:50:14
22	A. I did not ask him about that.	10:50:17
23	Q. And he didn't tell you anything	10:50:24
24	about that too; is that right?	10:50:25
25	A. He did not mention anything about	10:50:27

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1	Mr. Levandowski.	10:50:30
2	Q. Did he say anything else other	10:50:34
3	than what you've just discussed with me?	10:50:36
4	A. No, sir.	10:50:38
5	Q. What did you discuss with	10:50:47
6	Mr. Meyhofer?	10:50:48
7	A. I asked Mr. Meyhofer whether he	10:50:50
8	was aware as the head of ATG if ATG had a	10:50:52
9	competitive intelligence program and had	10:50:57
10	engaged in any collection of any competitive	10:50:59
11	intelligence on Waymo or Project Chauffeur in	10:51:02
12	line with the topic, and specifically as it	10:51:04
13	mentions any person or entity acting on Uber's	10:51:11
14	behalf to gather nonpublic information,	10:51:14
15	including trade secrets of Waymo or Project	10:51:16
16	Chauffeur.	10:51:18
17	His response was he was only aware	10:51:19
18	of some some sort of information gathering	10:51:21
19	by Joe Sullivan prior to his becoming head of	10:51:27
20	ATG, and that once he became head of ATG, he	10:51:33
21	asked Lior Ron to cease those activities.	10:51:37
22	Q. You said there was information	10:51:56
23	gathering by Joe Sullivan prior to the time	10:51:57
24	that Mr. Meyhofer became head of ATG?	10:52:00
25	A. Yes. He was referencing	10:52:04

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1	the the videotaping of Waymo vehicles.	10:52:05
2	Q. The videotaping we discussed	10:52:14
3	earlier today?	10:52:16
4	A. Yes, sir.	10:52:16
5	Q. And when did Mr. Meyhofer become	10:52:17
6	head of ATG?	10:52:28
7	A. Approximately May of 2017.	10:52:40
8	Q. Was that after the surveillance of	10:52:44
9	the Waymo vehicles that you mentioned earlier?	10:52:47
10	A. Yes.	10:52:47
11	Q. According to your this Exhibit	10:53:05
12	9677, and I believe your testimony earlier,	10:53:05
13	after April 13 or 14 where the Waymo briefing	10:53:09
14	was discussed, there was no further followup or	10:53:13
15	discussion of surveillance of Waymo vehicles;	10:53:16
16	correct?	10:53:16
17	A. That's correct.	10:53:19
18	Q. So what was it that you understand	10:53:20
19	Mr. Meyhofer said to Mr. Ron about ceasing	10:53:26
20	surveillance of Waymo vehicles if at that point	10:53:31
21	there was no surveillance going on?	10:53:35
22	A. There was one more trip to San	10:53:38
23	Francisco related to that took place in	10:53:41
24	May, but there was no presentation.	10:53:45
25	Q. When Mr. Meyhofer had become head	10:54:01

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1	Mr. Meyhofer n	mentioned Joe Sullivan in the	10:56:21
2	context of you	u asking about what's listed in	10:56:24
3	topic number	5; right?	10:56:28
4	Α.	Yes.	10:56:31
5	Q	So what was the context of him	10:56:31
6	mentioning Joe	e Sullivan? That's all I'm trying	10:56:34
7	to find out.		10:56:36
8	Α.	That the the activities were	10:56:37
9	taking place v	within the security org, of which	10:56:39
10	Joe Sullivan	was head of security.	10:56:41
11	Q. 1	Was there anything else he said	10:56:42
12	about Joe Sul	livan?	10:56:44
13	Α. Ι	No.	10:56:44
14	Q. 1	Have you told me everything about	10:57:08
15	your conversa	tion with Mr. Meyhofer?	10:57:09
16	Α.	Yes.	10:57:09
17	Q. 1	What did you discuss with Cameron	10:57:12
18	Poetzscher?		10:57:16
19	Α.	I asked whether or not the	10:57:17
20	business and	corporate development team had	10:57:18
21	engaged in any	y competitive intelligence	10:57:20
22	activities on	Waymo or Project Chauffeur and	10:57:23
23	specifically :	if there was any attempt to gather	10:57:27
24	nonpublic info	ormation, including trade secrets	10:57:29
25	of Waymo or P	roject Chauffeur, and he said no.	10:57:31

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1	Arizona, do you have any knowledge about either	11:00:26
2	your group or the ATG group using ephemeral	11:00:30
3	communications to communicate about information	11:00:35
4	related to either Google or Waymo?	11:00:39
5	A. No, I don't.	11:00:42
6	Q. You can't say one way or the other	11:00:46
7	whether that happened; correct?	11:00:48
8	A. I can't speak for everyone in the	11:00:50
9	company or anyone at ATG because I I don't	11:00:52
10	know about ATG's communications. But my team	11:00:55
11	and in terms of interactions I had with my	11:00:59
12	team, we've never discussed anything related to	11:01:02
13	Google or Waymo that I can recall within	11:01:05
14	ephemeral messaging apart from what we	11:01:09
15	previously discussed related to our public	11:01:11
16	observations of Waymo vehicles in Phoenix.	11:01:13
17	Q. This is going back a bit. But in	11:01:31
18	connection with the training and meetings that	11:01:33
19	you had with the ATG group	11:01:35
20	A. Okay.	11:01:40
21	Q or that your group had with the	11:01:40
22	ATG group, was the subject of nonattributable	11:01:42
23	or misattributable devices discussed?	11:01:45
24	A. No.	11:01:45
25	Q. Do you know whether anyone on the	11:01:55

		Page 89
1	Q. And what was the purpose of your	11:03:12
2	use of misattributable devices?	11:03:15
3	A. To protect my my affiliation	11:03:19
4	with Uber with regards to the research that we	11:03:20
5	would do on threat actors, threat you know,	11:03:25
6	threatening individuals where we needed to	11:03:33
7	flesh out the nature of the threat while	11:03:36
8	protecting our identity so we didn't put	11:03:39
9	ourselves at risk. And then the device was	11:03:43
10	also would also be used to connect with the	11:03:51
11	Nextcloud system.	11:03:54
12	Q. Did you always connect to the	11:03:57
13	Nextcloud system through a misattributable	11:03:59
14	device?	11:04:01
15	A. Yes.	11:04:01
16	Q. And was that to prevent any	11:04:05
17	information on that was stored on the	11:04:07
18	Nextcloud system from coming onto the Uber	11:04:09
19	systems?	11:04:12
20	A. The point of connecting to	11:04:14
21	a with a misattributable device was to	11:04:17
22	protect the integrity of the information and	11:04:21
23	the data so that whenever the the connection	11:04:23
24	happened, that it was done so in a secure	11:04:27
25	fashion.	11:04:30

		Page 94
1	A. I believe that some members would	11:09:09
2	use the their misattributable devices to try	11:09:11
3	to identify entities committing fraud on the	11:09:17
4	platform in the deep web in foreign countries,	11:09:21
5	which cost the company significant amounts of	11:09:25
6	money every year.	11:09:30
7	Q. And how would using the	11:09:39
8	misattributable devices help in that regard?	11:09:40
9	A. Well, when you're when you're	11:09:43
10	looking in the deep web with individuals	11:09:45
11	committing fraud, they are typically	11:09:47
12	computer-savvy individuals. And if they saw	11:09:51
13	you approaching from an Uber IP address or an	11:09:54
14	Uber device and they themselves are committing	11:09:57
15	fraud on the Uber platform, it would it	11:09:59
16	would it would prohibit your ability to	11:10:02
17	engage with them and and try and and	11:10:04
18	discern their their their methods for how	11:10:08
19	they, you know, engage in these activities so	11:10:12
20	that we could then, you know, create, produce	11:10:15
21	countermeasures that would shut them down.	11:10:18
22	Q. So did you in connection with	11:10:21
23	that did you and your team use other identities	11:10:22
24	to try to shut down that front?	11:10:28
25	A. We have one individual on our team	11:10:29

		Page 103
1	taken quite some time and it would have been	11:21:35
2	very inefficient.	11:21:38
3	Q. Do you know whether any	11:21:47
4	misattributable devices were ever used for the	11:21:48
5	purpose of conducting competitive intelligence?	11:21:51
6	A. How would you define "competitive	11:21:57
7	intelligence"?	11:21:59
8	Q. Have you heard that term before?	11:22:00
9	A. I've heard the term before, yes.	11:22:02
10	I see it written here.	11:22:06
11	Q. What's your understanding of what	11:22:09
12	that means in general?	11:22:10
13	A. Gathering information or	11:22:17
14	acquisition of information used to to gain	11:22:21
15	some sort of an advantage.	11:22:25
16	Q. Using that definition, have	11:22:27
17	misattributable devices ever been used by folks	11:22:29
18	in your group or, to your knowledge otherwise,	11:22:36
19	at Uber to conduct competitive intelligence?	11:22:40
20	A. The team used those laptops on	11:22:46
21	occasion for research into individuals that	11:22:55
22	would be considered or not individuals, but	11:22:59
23	rather companies that would be considered	11:23:02
24	competitors. But the research by nature was	11:23:04
25	for background purposes to help educate the	11:23:06

		Page 104
1	team and better inform the team on who the	11:23:11
2	competitor is.	11:23:14
3	Q. Can you give me any specific	11:23:20
4	examples about of that?	11:23:23
5	A. As an example, if the team was	11:23:25
6	asked to anticipating whether or not the	11:23:29
7	team was going to be asked to look into, you	11:23:35
8	know, like Waymo vehicles on the street. For	11:23:42
9	the team to to do that, it would need to do	11:23:47
10	some sort of initial background research to	11:23:49
11	research: What does a Waymo vehicle look like?	11:23:52
12	What are, you know, the areas from press	11:23:56
13	articles that we see them operating? To give	11:23:58
14	us basic background information so that we can	11:24:03
15	do our job. Otherwise, we show up there, and	11:24:06
16	if we haven't done our preparation and	11:24:24
17	homework, we're going to be very inefficient at	11:24:26
18	doing our job.	11:24:29
19	Q. Did you prepare to testify on	11:24:45
20	topic number 8?	11:24:48
21	A. Yes.	11:24:48
22	Q. It says here:	11:24:54
23	"Surveillance conducted by or at	11:24:56
24	the direction of defendants of any persons who	11:24:57
25	are witnesses or attorneys in this case."	11:24:59
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means by intrusion into competitor off-site 11:46:25 means by intrusion into competitor off-site 11:46:26 meeting locations because it's rather 11:46:30 nonspecific what intrusion means. 11:46:32 And the taking of photographs of 11:46:37 competitor proprietary products or information 11:46:42 in a nonpublic setting without authorization 11:46:48 would also seem to have that would seem to 11:46:48 would also seem to have again, without 11:47:07 being an expert on these things, it might have 11:47:13 legal implications. So I don't know whether, 11:47:15 again, it falls under the category of invasive 11:47:17 or legal, but I don't know. 11:47:17 or legal, but I don't know. 11:47:21 that are listed here? 11:47:23 MR. GONZALEZ: Object to form. 11:47:27 MR. UMHOFER: And I'm going to 11:47:28 object to this question to the extent 11:47:31 scope of the Court's order defining the 11:47:33 scope of these depositions. And I'm 11:47:37 going to instruct the witness to answer 11:47:38			Page 120
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MR. GONZALEZ: Object to form. 11:47:27 MR. UMHOFER: And I'm going to 11:47:28 18 object to this question to the extent 11:47:29 19 that it asks for information beyond the 11:47:31 20 scope of the Court's order defining the 11:47:33 21 scope of these depositions. And I'm 11:47:37	14	Q. Did your team ever do the things	11:47:21
MR. UMHOFER: And I'm going to 11:47:28 18 object to this question to the extent 11:47:29 19 that it asks for information beyond the 11:47:31 20 scope of the Court's order defining the 11:47:33 21 scope of these depositions. And I'm 11:47:37	15	that are listed here?	11:47:23
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that it asks for information beyond the 11:47:31 scope of the Court's order defining the 11:47:33 scope of these depositions. And I'm 11:47:37	17	MR. UMHOFER: And I'm going to	11:47:28
scope of the Court's order defining the 11:47:33 scope of these depositions. And I'm 11:47:37	18	object to this question to the extent	11:47:29
21 scope of these depositions. And I'm 11:47:37	19	that it asks for information beyond the	11:47:31
	20	scope of the Court's order defining the	11:47:33
22 going to instruct the witness to answer 11:47:38	21	scope of these depositions. And I'm	11:47:37
	22	going to instruct the witness to answer	11:47:38
only as to competitive intelligence. 11:47:40	23	only as to competitive intelligence.	11:47:40
A. My team did not steal competitor 11:48:08	24	A. My team did not steal competitor	11:48:08
25 information from offices or other locations. 11:48:14	25	information from offices or other locations.	11:48:14

		Page 121
1	We did not retrieve documents from dumpsters.	11:48:17
2	As I've testified earlier, my team has not	11:48:24
3	recorded individuals without their consent. We	11:48:28
4	have not intruded into competitor off-site	11:48:34
5	meeting locations. We have not taken	11:48:40
6	photographs of competitor proprietary products	11:48:41
7	or information in a nonpublic setting without	11:48:44
8	authorization.	11:48:46
9	Q. Are you aware of any other teams	11:48:48
10	at Uber doing those things for competitive	11:48:49
11	intelligence?	11:48:53
12	A. I am not aware.	11:48:57
13	Q. Marketplace Analytics team. Do	11:48:59
14	you know whether they ever did any of those	11:49:00
15	things for competitive intelligence?	11:49:01
16	A. I'm not aware if they did.	11:49:03
17	Q. In any event, you're not aware of	11:49:09
18	an express policy prior to this time	11:49:10
19	prohibiting these types of invasive techniques	11:49:14
20	at Uber; correct?	11:49:16
21	MR. GONZALEZ: Object to form.	11:49:18
22	A. Again, as I've stated I'm not	11:49:20
23	aware of a competitive intelligence policy that	11:49:21
24	predates this one.	11:49:24
25	Q. Including one that dictated	11:49:26
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1	take a break.	12:00:21
2	A. Okay.	12:00:24
3	BY MR. KAPGAN:	12:00:24
4	Q. All right.	12:00:24
5	So let me ask you: This is	12:00:26
6	basically a prohibition on unauthorized	12:00:32
7	solicitation, receipt, use or replication of	12:00:35
8	trade secret information; right?	12:00:38
9	A. That's formally marked or believed	12:00:40
10	to be subject to a an NDA or confidentiality	12:00:42
11	agreement.	12:00:46
12	Q. Are you aware of any policy at	12:00:46
13	Uber prior to July of 2017 that prohibited such	12:00:48
14	activity?	12:00:52
15	A. Not aware of a policy.	12:00:53
16	Q. Are you aware of anyone in your	12:00:58
17	group or outside of your group at Uber,	12:01:01
18	including vendors, that engaged in unauthorized	12:01:05
19	solicitation, receipt, use or replication of	12:01:09
20	trade secret information or confidential	12:01:13
21	information of a third party?	12:01:16
22	A. No.	12:01:16
23	Q. Have you discussed this policy	12:01:41
24	with anyone at Uber?	12:01:42
25	A. I think I discussed it with Craig	12:01:51

		Page 144
1	competitors of Uber, as far as you can tell?	13:17:37
2	A. I would have to read it.	13:17:39
3	Q. All right.	13:17:39
4	Well, if you take a look at the	13:17:46
5	bottom towards the bottom of that page, do	13:17:48
6	you see where it says:	13:17:55
7	"Understanding the state of play	13:17:56
8	in this race and distinguishing the leaders	13:17:57
9	from the pretenders is critical to our own	13:18:00
10	leadership as they make the decisions and set	13:18:02
11	the policy for us to succeed before all	13:18:04
12	others"?	13:18:07
13	A. I see that.	13:18:07
14	Q. Then it says:	13:18:08
15	"This intelligence collection	13:18:09
16	strategy in support of our leadership will be a	13:18:11
17	complex and enduring one. It will be focused	13:18:13
18	on three areas: Competitors, key personalities	13:18:15
19	and technologies."	13:18:15
20	Do you see that?	13:18:20
21	A. I see it.	13:18:21
22	Q. Is it your understanding that this	13:18:22
23	document is intended to set out an intelligence	13:18:23
24	collection strategy in support of Uber's	13:18:27
25	leadership?	13:18:30

		Page 145
1	A. It appears to be a philosophical	13:18:30
2	approach to looking at the autonomous vehicle	13:18:33
3	competitive landscape and from a collection	13:18:37
4	standpoint.	13:18:41
5	Q. And do you see in the next	13:18:42
6	paragraph, it says:	13:18:43
7	"The primary collection	13:18:44
8	methodologies will be open-source and HUMINT	13:18:45
9	and will be conducted by assets, both internal	13:18:48
10	employees and external vendors"?	13:18:52
11	A. I see that.	13:18:54
12	Q. And did you have understanding	13:18:56
13	that Uber's primary collection methodologies in	13:18:59
14	terms of gaining competitive intelligence were	13:19:06
15	the things that are listed here?	13:19:07
16	A. No, I disagree with that. I mean,	13:19:12
17	this strategy or this this document here,	13:19:13
18	what was outlined, was never actually carried	13:19:17
19	out.	13:19:21
20	Q. You haven't read the whole	13:19:21
21	document yet, have you?	13:19:22
22	A. I haven't read the whole document,	13:19:23
23	but insofar as what you've pointed out to me,	13:19:25
24	these are not things that were that were	13:19:28
25	undertaken.	13:19:30

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1	yes. I don't know whether or not they would	13:22:46
2	deem it to be useful from a competitive	13:22:49
3	standpoint. It's not my ability to assess the	13:22:51
4	value of the information because I'm not a	13:22:54
5	subject matter expert. All I can do is provide	13:22:56
6	the information.	13:23:00
7	Q. There is no doubt that your team	13:23:01
8	did research and provided competitive excuse	13:23:03
9	me.	13:23:07
10	There is no doubt that your team	13:23:07
11	did research and provided information	13:23:09
12	regardless of whether you thought it was	13:23:12
13	valuable or not, about Uber's competitors to	13:23:13
14	other groups at the company; correct?	13:23:18
15	A. We provided information and	13:23:21
16	research, open-source, and the the the	13:23:23
17	videotaping in in public of vehicles, as we	13:23:28
18	discussed, yes, to ATG.	13:23:32
19	Q. Okay.	13:23:34
20	A. But if it's not valuable, how I	13:23:37
21	don't see how it could offer some sort of an	13:23:41
22	advantage.	13:23:43
23	Q. You weren't there to assess the	13:23:43
24	value of that information; right?	13:23:45
25	A. That's correct.	13:23:46
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		Page 151
1	A. Correct.	13:24:33
2	Q. Mr. Levandowski or Mr. Ron did	13:24:36
3	report back to you that they found it benefited	13:24:38
4	them; correct?	13:24:40
5	A. That's correct.	13:24:41
6	Q. In your view, does that mean that	13:24:44
7	that information provided some form of	13:24:46
8	competitive intelligence to the company?	13:24:48
9	A. The information was beneficial.	13:24:50
10	Whether or not it gave the company a	13:24:52
11	competitive advantage, I don't know.	13:24:54
12	Again, that project was related to	13:25:01
13	helping inform the business on making a	13:25:03
14	business decision.	13:25:07
15	Q. Okay.	13:25:08
16	So going back to this document,	13:25:08
17	again, one of the collection methodologies that	13:25:11
18	your group engaged in was based on open-source	13:25:14
19	research; correct?	13:25:18
20	A. Sorry. One more time.	13:25:23
21	Q. One of the collection	13:25:25
22	methodologies that your group implemented was	13:25:27
23	based on open-source research; correct?	13:25:33
24	A. To my knowledge, we didn't	13:25:36
25	implement a strategy based on this document.	13:25:38

		Page 152
1	Q. That's not my question.	13:25:42
2	A. So okay.	13:25:43
3	Q. Let me ask my question again.	13:25:44
4	A. Okay.	13:25:46
5	Q. One of the collection	13:25:47
6	methodologies that your group implemented was	13:25:48
7	based on open-source research; correct?	13:25:50
8	A. Okay. Yes.	13:25:53
9	Q. In the last paragraph of this	13:26:01
10	second page of this document, it refers to	13:26:02
11	open-source as being a primary collection	13:26:04
12	methodology; correct?	13:26:07
13	A. In the last paragraph, yes.	13:26:21
14	Q. Do you interpret that to be	13:26:22
15	something other than what I just said about	13:26:24
16	your team doing collection based on open-source	13:26:26
17	research?	13:26:30
18	A. No, I I mean, I understand what	13:26:45
19	it means, but it says that the methodology will	13:26:46
20	be. We didn't actually engage on this project.	13:26:51
21	So	13:26:55
22	Q. Well, you just said that you do do	13:26:56
23	open-source research. So what is it that	13:26:58
24	you're saying you don't do here?	13:27:01
25	A. We didn't we didn't engage	13:27:03

			Page 155
1	in the field	l of autonomous vehicles?	13:28:50
2	Α.	I don't I wouldn't really know.	13:28:53
3	Q.	All right.	13:28:53
4		And then you see it says:	13:28:55
5		"Giraffe believes that critical	13:28:57
6	factor to su	access is hyperaccurate mapping"?	13:28:59
7	Α.	I see it.	13:29:02
8	Q.	Did you ever hear that before?	13:29:02
9	Α.	I believe it was reported in the	13:29:07
10	press, but t	hat's my understanding of that	13:29:08
11	statement.		13:29:12
12	Q.	If you go to the next page, do you	13:29:12
13	see where it	says "Collection Objectives"?	13:29:14
14	Α.	Okay.	13:29:23
15	Q.	And the number one collection	13:29:24
16	objective is	s "Focused on Google."	13:29:27
17		Do you see that?	13:29:28
18	Α.	Yes.	13:29:29
19	Q.	And there are a number of	13:29:31
20	questions be	elow that.	13:29:32
21		Do you see that?	13:29:33
22	Α.	Yes.	13:29:33
23	Q.	The first collection objective is:	13:29:36
24		"What original equipment	13:29:39
25	manufacturer	s OEM is Giraffe partnering with?"	13:29:40

			Page 156
1		Do you see that?	13:29:44
2	A.	I do.	13:29:45
3	Q.	Did your team ever try to figure	13:29:47
4	out which O	EMs Google was partnering with?	13:29:48
5	Α.	No.	13:29:48
6	Q.	Did any vendors you hired or	13:29:55
7	anyone else	that you know of at the company do	13:29:57
8	so?		13:29:59
9	Α.	No, not that I'm aware of.	13:30:00
10	Q.	Do you see if you go down to D, it	13:30:11
11	says "What	technology is Google outsourcing?"	13:30:17
12	It says "Gi:	raffe," but that's a reference to	13:30:17
13	Google.		13:30:18
14	Α.	I see it.	13:30:19
15	Q.	Did you ever do any investigation,	13:30:20
16	or anyone of	n your team or your vendors do any	13:30:21
17	investigati	on, to your knowledge, to figure out	13:30:24
18	the answer	to that question?	13:30:27
19	Α.	No.	13:30:27
20	Q.	And you're not aware of anyone at	13:30:32
21	Uber doing	that?	13:30:33
22	Α.	I'm not aware, no.	13:30:34
23	Q.	How about G:	13:30:39
24		"Who are Giraffe's partners in the	13:30:40
25	AV program?	п	13:30:42

			Page 158
1	Α.	I do.	13:31:27
2	Q.	Do you understand what that means?	13:31:28
3	Α.	Not specifically, no.	13:31:39
4	Q.	Do you have any understanding	13:31:45
5	Α.	I I didn't write so I I	13:31:45
6	don't know.		13:31:45
7	Q.	Reading it now do you have any	13:31:45
8	understandir	g of what that means?	13:31:46
9	Α.	I could only guess that it means	13:31:52
10	just underst	and who the key players are in	13:31:54
11	in the progr	am.	13:31:57
12	Q.	Are you aware of any investigation	13:32:00
13	being done o	or that had been done at Uber or by	13:32:02
14	its vendors	with respect to understanding who	13:32:07
15	the key play	ers were within the Google or Waymo	13:32:12
16	autonomous v	rehicle program?	13:32:18
17	А.	Nope. I'm not aware.	13:32:20
18	Q.	If you turn to the several	13:32:31
19	pages later,	it's page 625.	13:32:33
20	Α.	625?	13:32:45
21	Q.	Yes.	13:32:45
22	Α.	Okay.	13:32:47
23	Q.	Do you see it says "Collection	13:33:00
24	Plan" and th	en it says "Initial assessment of	13:33:01
25	the competit	ive landscape"?	13:33:03

			Page 159
1	Α.	I see that.	13:33:05
2	Q.	And then if you go down to the	13:33:10
3	next-to-last	paragraph on that page, it says:	13:33:12
4		"To succeed, our collection plan	13:33:15
5	must be both	n broad and deep. It must be broad	13:33:16
6	enough to de	evelop streams of reporting on at	13:33:20
7	least six di	ifferent competitors."	13:33:22
8		Do you see that?	13:33:24
9	Α.	I see it.	13:33:25
10	Q.	Google is included among those;	13:33:25
11	correct?		13:33:28
12	А.	It appears so, yes.	13:33:28
13	Q.	And then next sentence says:	13:33:32
14		"And the plan must be deep enough	13:33:34
15	to acquire	in a timely manner meaningful	13:33:36
16	technical da	ata and to identify legitimate	13:33:39
17	milestones o	on the road to AV level 5."	13:33:41
18		Do you see that?	13:33:46
19	Α.	I see it.	13:33:46
20	Q.	Are you aware of any activities at	13:33:48
21	Uber to acqu	uire technical data about	13:33:50
22	competitors	AV programs?	13:33:57
23	Α.	No. What what do you mean by	13:34:03
24	"technical o	data"?	13:34:07
25	Q.	Let's start with data that was not	13:34:10
1			

		Page 160
1	available publicly on the Internet.	13:34:12
2	A. Okay. No, I'm not aware.	13:34:15
3	Q. Is there any other kind of	13:34:26
4	technical data you're aware of that that	13:34:27
5	anyone at Uber obtained or attempted to obt	ain 13:34:32
6	about Google or Waymo's AV program or vehic	les? 13:34:37
7	A. Nothing that I'm aware of.	13:34:43
8	Q. If you look at the next page,	it 13:34:50
9	says:	13:34:53
10	"Two-pronged collection. Pron	g 13:34:54
11	one: Establish and maintain the baseline."	13:34:57
12	Do you see that?	13:35:00
13	A. I see it.	13:35:01
14	Q. And then there's an indication	13:35:02
15	"Open Source Research."	13:35:05
16	Do you see that?	13:35:06
17	A. Yes.	13:35:07
18	Q. And it says:	13:35:10
19	"SSG analysts and operation	13:35:11
20	specialists will conduct daily open-source	13:35:13
21	research with nonattributable computers in	13:35:15
22	order to establish where each competitor li	kely 13:35:17
23	sits on the spectrum of AV research and tes	ting 13:35:19
24	and to measure each competitor's claimed	13:35:22
25	progress on the road to AV level 5."	13:35:24

		Page 161
1	Did you see that? Do you see	13:35:26
2	that?	13:35:28
3	A. I see it.	13:35:28
4	Q. Did any SSG analysts or others in	13:35:29
5	the group do this?	13:35:32
6	A. Conduct a daily open-source	13:35:36
7	research? No.	13:35:38
8	Q. Did any of the folks in SSG	13:35:40
9	conduct open-source research with	13:35:42
10	nonattributable computers in order to establish	13:35:44
11	where competitors sat on the spectrum of AV	13:35:49
12	research and testing?	13:35:54
13	A. I don't think that that occurred,	13:35:57
14	no.	13:36:00
15	Q. Did any folks in SSG conduct	13:36:01
16	research, whether or not it was on	13:36:14
17	nonattributable computers, on competitors in	13:36:18
18	the AV field to try to see what their progress	13:36:24
19	was in that regard?	13:36:29
20	MR. UMHOFER: Objection, vague and	13:36:32
21	ambiguous, calls for speculation.	13:36:34
22	A. What what progress? In what	13:36:36
23	regard?	13:36:38
24	Q. For example did anyone in SSG, to	13:36:41
25	your knowledge, conduct research to determine	13:36:45

		Page 162
1	how far along any particular competitor to Uber	13:36:46
2	was in the development of their AV program?	13:36:51
3	A. I think that some team members on	13:36:56
4	SSG conducted research open-source to provide	13:37:01
5	background assessment on where competitors were	13:37:08
6	in the AV space.	13:37:10
7	Q. Do you recall with respect to	13:37:17
8	which competitors?	13:37:18
9	A. I I don't I don't recall if	13:37:33
10	I could say specifically each one. I believe	13:37:38
11	that Ed Russo produced some sort of background	13:37:42
12	research on some on on Waymo in maybe	13:37:46
13	2016 in order to provide SSG a baseline of	13:37:53
14	understanding of of the AV program based on	13:38:00
15	open-source information. Because at the time	13:38:04
16	SSG knew absolutely nothing and had to start	13:38:07
17	from scratch somewhere and just doing some	13:38:10
18	basic online research.	13:38:14
19	Q. Was that research that was	13:38:17
20	performed by Mr. Russo memorialized in some	13:38:18
21	kind of report or written document?	13:38:21
22	A. It's possible that it was.	13:38:23
23	Q. Did you discuss it with him?	13:38:27
24	A. I don't recall discussing it with	13:38:29
25	him.	13:38:31

		Page 165
1	specialists will track the social media	13:41:13
2	accounts, e.g., LinkedIn, Facebook, Twitter,	13:41:16
3	et cetera, in order to map the personal and	13:41:19
4	professional networks of key personnel."	13:41:21
5	Do you see that?	13:41:24
6	A. I see it.	13:41:24
7	Q. Do you know if that was ever done?	13:41:25
8	A. That was not done.	13:41:26
9	Q. Do you know if there was a	13:41:28
10	decision made not to do that?	13:41:29
11	A. We never so broadly speaking we	13:41:33
12	didn't engage in this overall collection	13:41:36
13	strategy. So, you know, the the components,	13:41:42
14	the the prongs, so to speak, didn't	13:41:43
15	didn't become part of of any any of the	13:41:48
16	projects. There was no formal project.	13:41:52
17	Q. All right.	13:41:52
18	And do you see it says:	13:42:03
19	"Number 2. Internal Resources"?	13:42:04
20	A. Yes.	13:42:04
21	Q. And it says:	13:42:09
22	"In order to build the subject	13:42:10
23	matter expertise necessary to drive collection,	13:42:11
24	SSG would like to meet on a semi-routine basis	13:42:13
25	with our own AV experts at Iden I, Iden J and	13:42:16

		Page 168
1	A. No, not to my knowledge.	13:44:16
2	Q. D says:	13:44:21
3	"For those employees who came	e to 13:44:22
4	us from competitors, gather insight into h	now 13:44:23
5	those competitors structure their AV progr	rams, 13:44:28
6	the strengths and weaknesses of those prog	grams, 13:44:31
7	and the working conditions and resourcing	of 13:44:33
8	those programs."	13:44:35
9	Do you see that?	13:44:36
10	A. I see it.	13:44:36
11	Q. Do you have any knowledge of	13:44:38
12	whether that was done?	13:44:39
13	A. I don't have any knowledge it	was 13:44:41
14	done. I don't believe that SSG engaged in	13:44:42
15	those activities.	13:44:45
16	Q. Do you know if any other grou	13:44:46
17	did?	13:44:47
18	A. I don't.	13:44:48
19	Q. And the suggestion here is th	nat 13:44:49
20	as you understand it is that if employees	from 13:44:51
21	competitors came over to be employed by Uk	per, 13:44:55
22	you could ask them about those competitors	s' AV 13:44:58
23	programs.	13:45:04
24	Is that your understanding?	13:45:05
25	A. I understand how you are	13:45:06

program in place that consisted of you doing or 13:49: your team doing research about employees at 13:49: competitor organizations for potential 13:49: recruitment?
3 competitor organizations for potential 13:49:
4 recruitment? 13:49:
1
5 A. We were asked to to source 13:49:
6 candidates from a few organizations 13:49:
7 specifically, yes. 13:49:
8 Q. Which ones do you remember? 13:49:
9 A. Those are the only 13:49:
10 two I remember. 13:50:
11 Q. If you take a look down, do you 13:50:
12 see where it says: 13:50:
13 "Prong two, attack the 13:50:
14 objectives"? 13:50:
15 A. Uh-huh. 13:50:
Q. And then the number one thing here 13:50:
17 again is Giraffe or Google. Do you see that? 13:50:
18 A. I do. 13:50:
19 Q. And then it says: 13:50:
20 "Vendor 1 will use existing 13:50:
21 capabilities and sources where possible or 13:50:
develop new sources where necessary in order to 13:50:
23 answer the collection requirements associated 13:50:
24 with Giraffe." 13:50:
Do you see that? 13:50:

		Page 173
1	A. I see it.	13:50:31
2	Q. Did Uber hire a vendor to collect	13:50:34
3	information about Google as indicated here?	13:50:43
4	A. Not that I'm aware of.	13:50:46
5	Q. Do you know who the vendor 1 is	13:50:49
6	that's being referenced here?	13:50:52
7	A. I don't.	13:50:53
8	Q. Have you ever seen vendor 1 or	13:50:54
9	vendor 2 referenced in any of their other	13:50:56
10	documents during your time at Uber?	13:51:00
11	A. Not that I recall specifically. I	13:51:03
12	mean, this probably is just a generic, again,	13:51:05
13	use of similar to the Iden type of	13:51:10
14	Q. Seeing vendor 1 and vendor 2 here,	13:51:17
15	would you expect that there is a different	13:51:19
16	document that would have a key that would	13:51:21
17	identify who vendor 1 is and who vendor 2 is?	13:51:22
18	A. It again, it's possible, but	13:51:27
19	seeing "Draft" on this, I don't know whether or	13:51:29
20	not that would have ever been produced.	13:51:32
21	Q. Did your group ever hire a vendor	13:51:42
22	called to do research about Waymo	13:51:44
23	or Google?	13:51:48
24	A. No.	13:51:50
25	Q. Have you heard of that vendor?	13:51:52

		Page 179
1	A. Well, it's difficult to know	14:00:05
2	whether or not our own AV expert would be able	14:00:07
3	to speak on behalf of a competitor. All we can	14:00:09
4	do is ascertain what knowledge they have from	14:00:12
5	their own experience.	14:00:14
6	Q. Right. But	14:00:15
7	A. But as subject matter experts, we	14:00:16
8	have to rely on what information they can	14:00:18
9	provide us because we're not subject matter	14:00:20
10	experts ourselves.	14:00:22
11	Q. And are you aware of internal AV	14:00:23
12	experts at Uber providing that kind of	14:00:27
13	information about competitor AV programs?	14:00:29
14	A. I don't recall ever asking or I	14:00:33
15	don't recall SSG ever asking anyone at A at	14:00:39
16	ATG for their assessment on competitor AV	14:00:44
17	programs.	14:00:47
18	Q. You noticed that there was a	14:00:48
19	"Draft" on every page of this document. Did	14:01:02
20	you ever receive training on placing the	14:01:05
21	"Draft" label on documents from anyone, Craig	14:01:08
22	Clark or anyone else?	14:01:12
23	A. I never received training on doing	14:01:13
24	that, no.	14:01:15
25	Q. Did you receive training on	14:01:15

		Page 180
1	attorney-client privileged labels on documents?	14:01:18
2	A. Yes.	14:01:18
3	Q. Was any of that training given, to	14:01:20
4	your knowledge, to the folks in ATG?	14:01:25
5	A. I don't know.	14:01:28
6	Q. And what do you recall about the	14:01:31
7	training that you received with respect to	14:01:33
8	attorney-client privilege? How many times did	14:01:36
9	you get that kind of training?	14:01:38
10	A. I recall receiving two briefings,	14:01:40
11	two presentations.	14:01:43
12	Q. Who gave those presentations?	14:01:46
13	A. Craig Clark.	14:01:48
14	Q. Did he use a slide deck?	14:01:49
15	A. Yes.	14:01:49
16	Q. Did he use did it have pictures	14:01:53
17	of dogs?	14:01:56
18	A. The second one I saw did, yes.	14:01:57
19	Q. The first one didn't have any	14:01:59
20	pictures of dogs?	14:02:01
21	A. I don't think so.	14:02:02
22	Q. So he came up with kind of a	14:02:03
23	clever way to do to explain attorney-client	14:02:04
24	privilege by using some kind of dog meme; is	14:02:08
25	that the idea?	14:02:10

		Page 191
1	A. I have no idea.	14:15:15
2	Q. Was there some form, capability of	14:15:16
3	doing mobile phone collections by any of your	14:15:20
4	vendors?	14:15:23
5	A. No. To my knowledge, neither we	14:15:24
6	nor our vendors have the ability to physically	14:15:26
7	collect on a mobile phone.	14:15:29
8	Q. Or electronically collect on a	14:15:32
9	mobile phone?	14:15:34
10	A. Or electronically collect on a	14:15:35
11	mobile phone.	14:15:37
12	Q. You never had a discussion with	14:15:37
13	Mr. Jacobs that one of your vendors had some	14:15:40
14	form of mobile phone collection capability?	14:15:47
15	A. I don't recall having a discussion	14:15:49
16	like that.	14:15:51
17	Q. Do you recall telling Mr. Jacobs	14:15:51
18	to remove information about certain vendor	14:16:10
19	capabilities from any slide decks or	14:16:16
20	presentations that he or others were preparing?	14:16:21
21	A. I don't recall doing that, no.	14:16:23
22	Q. Do you recall Mr. Jacobs proposing	14:16:28
23	the creation of some kind of intelligence	14:16:30
24	database?	14:16:33
25	A. No.	14:16:33

		Page 192
1	Q. He never to your knowledge	14:16:36
2	proposed any kind of creation of a database to	14:16:38
3	store or combine information from different	14:16:46
4	groups at Uber?	14:16:48
5	A. He never proposed any such thing.	14:16:50
6	There was a joint project that was worked on	14:16:52
7	amongst Threat Ops to try and create a data	14:16:56
8	repository, but it was not his proposal or his	14:16:59
9	creation, and he didn't unilaterally work on	14:17:02
10	it.	14:17:04
11	Q. Was he involved in it at all, as	14:17:05
12	far as you know?	14:17:07
13	A. As the manager for Intel, he was	14:17:07
14	the representative of that team on this joint	14:17:09
15	project.	14:17:11
16	Q. I see.	14:17:11
17	And did that database get created?	14:17:12
18	A. It did not.	14:17:14
19	Q. What's your understanding of the	14:17:17
20	reason why it didn't?	14:17:19
21	A. Because we were reliant on	14:17:20
22	internal engineering resources to build it, and	14:17:22
23	the group ENGSEC, or Engineering Security, told	14:17:26
24	us that they did not have the resources to	14:17:31
25	devote to building a system for us, which was	14:17:33

		Page 193
1	disappointing.	14:17:48
2	Q. You never objected to the creation	14:17:49
3	of that database?	14:17:50
4	A. Absolutely not. I worked with the	14:17:52
5	others in Threat Ops to to to create the	14:17:55
6	specifications and map out the workflow, and I	14:18:00
7	attended multiple meetings on it.	14:18:03
8	Q. Do you recall ever telling	14:18:07
9	Mr. Gicinto or anyone else	14:18:11
10	A. Sorry. That's me.	14:18:12
11	Q. I'm sorry. Let me restate that.	14:18:14
12	A. Okay.	14:18:17
13	Q. It's it's what happens after	14:18:18
14	you take two full days of depos.	14:18:20
15	[Laughter.]	14:18:22
16	Q. Do you recall ever telling	14:18:26
17	Mr. Jacobs or anyone else at Uber to remove or	14:18:29
18	not place information in a presentation or	14:18:35
19	other type of document about human intelligence	14:18:38
20	collections?	14:18:44
21	A. I don't recall ever stating that	14:18:46
22	to him. And as the manager of another team,	14:18:48
23	I'm not clear why he would even be presenting	14:18:52
24	on something that my team does.	14:18:54
25	Q. You don't recall having a	14:19:01

		Page 196
1	that those are untruthful characterizations	14:22:02
2	made by Mr. Jacobs.	14:22:06
3	MS. CHANG: Counsel, we've been	14:22:12
4	going for over an hour, so when you're	14:22:14
5	at a good stopping point maybe we can	14:22:16
6	take a break.	14:22:18
7	MR. KAPGAN: Okay.	14:22:21
8	BY MR. KAPGAN:	14:22:21
9	Q. Did Mr. Jacobs ever object to the	14:22:35
10	use of ephemeral communications?	14:22:39
11	A. No. To my knowledge he was an	14:22:43
12	avid user.	14:22:48
13	Q. Do you have any familiarity with	14:22:51
14	the incident that Jacobs alleged in his letter	14:22:55
15	about	14:22:59
16		14:23:04
17	A. So I don't have the letter in	14:23:07
18	front of me. Forgive me.	14:23:09
19	Q. Are you familiar with Uber	14:23:11
20		14:23:15
21		14:23:19
22	s	14:23:22
23		14:23:29
24	A. No, I have no knowledge about	14:23:30
25	that.	14:23:31

			Page 250
1	attend. Mat	Henley attends and Susan Chiang	16:05:26
2	attends.		16:05:29
3	Q.	Okay.	16:05:37
4		And are those all the people you	16:05:37
5	remember att	tending this meeting?	16:05:37
6	Α.	As far as I know, yeah.	16:05:37
7	Q.	All right.	16:05:37
8		If you look at the second page, it	16:05:37
9	says TLDR.	That stands for too long, didn't	16:05:39
10	read; right?	?	16:05:43
11	Α.	Too long, don't read.	16:05:44
12	Q.	And this is basically an executive	16:05:45
13	summary of t	the document; is that fair?	16:05:48
14	Α.	That's fair, yes.	16:05:50
15	Q.	And then the first bullet says:	16:05:50
16		"Success in autonomous vehicle	16:05:55
17	race ultimat	cely hinges on the source code."	16:05:58
18		Do you see that?	16:06:01
19	Α.	I see that it says that, yes.	16:06:01
20	Q.	And do you agree with that	16:06:03
21	statement?		16:06:05
22	Α.	It was conveyed to us that from	16:06:05
23	Uber enginee	ers that that, ultimately, to get	16:06:07
24	to the highe	est AV level that, you know, it's up	16:06:12
25	to it's ı	up to creation of a good source	16:06:17
1			

		Page 251
1	code.	16:06:20
2	Q. And the second bullet says:	16:06:20
3	"Giraffe leads the other 30-plus	16:06:22
4	companies in the race to field fully autonomous	16:06:24
5	vehicles."	16:06:29
6	Do you see that?	16:06:30
7	A. I see it.	16:06:30
8	Q. That's a reference to Google;	16:06:31
9	right?	16:06:32
10	A. Yes.	16:06:32
11	Q. And do you agree with that	16:06:34
12	statement?	16:06:35
13	A. I agree that that was likely the	16:06:36
14	assessment of the team at the time based on	16:06:38
15	whatever limited knowledge it may have of, you	16:06:43
16	know, autonomous vehicle from its its	16:06:47
17	open-source research.	16:06:49
18	Q. Did that include research	16:06:50
19	internally speaking to experts within Uber	16:06:52
20	about that subject?	16:06:56
21	A. Again, we didn't speak to experts	16:06:59
22	within Uber in requesting their assessment of	16:07:01
23	other companies, as I recall.	16:07:05
24	Q. You didn't speak to the ATG group	16:07:07
25	to get their views on the autonomous vehicle	16:07:10

		Page 254
1	Q. So did you receive validation from	16:09:19
2	Mr. Ron and Mr. Levandowski in February of 2017	16:09:23
3	that the number one focus of the SSG Group in	16:09:29
4	terms of research on autonomous vehicles should	16:09:32
5	be with respect to Google or Waymo?	16:09:33
6	A. It was articulated to us that that	16:09:35
7	was a primary interest of theirs, but it wasn't	16:09:39
8	necessarily what SSG focused on first or	16:09:41
9	initially.	16:09:44
10	Q. And did they tell you why that was	16:09:45
11	a primary interest to them?	16:09:47
12	A. No.	16:09:47
13	Q. If you turn to the next page, this	16:09:49
14	is ending in 931, it says "Baseline Research."	16:09:52
15	First bullet says:	16:09:56
16	"Source code, not hardware	16:09:58
17	automotive design, is the key to success. "	16:09:59
18	A. Yes.	16:10:02
19	Q. You agree with that?	16:10:02
20	A. I agree that that's what the	16:10:04
21	the engineers at ATG in Pittsburgh communicated	16:10:06
22	to us in terms of, you know, what they deemed	16:10:10
23	to be most critical in advancing our own AV	16:10:12
24	program.	16:10:17
25	Q. If you look at the next page, it's	16:10:18

		Page 295
1	Q. Based on your experience, did	17:16:08
2	you or do you agree with Mr. Ron that supply	17:16:12
3	chain members tend to be chatty?	17:16:17
4	A. I had no experience with supply	17:16:18
5	chain members, so I couldn't corroborate that	17:16:20
6	statement.	17:16:23
7	Q. Nonetheless, that's a statement	17:16:25
8	that Mr. Ron made; correct?	17:16:27
9	A. It's either a statement that he	17:16:29
10	made or that Mr. Russo is paraphrasing here.	17:16:31
11	Q. And Mr. Russo also is either	17:16:36
12	stating or paraphrasing Mr. Ron's conveying	17:16:41
13	that supply chain members not only are chatty,	17:16:44
14	but they're also good sources of insight into	17:16:47
15	competitor's plans, intentions and	17:16:50
16	capabilities; correct?	17:16:52
17	A. Again, my understanding is that's	17:16:53
18	based on the information that they make	17:16:55
19	publicly available.	17:16:56
20	Q. Well, it doesn't say that here.	17:16:59
21	It just says:	17:17:00
22	"Supply chain members are chatty	17:17:02
23	and are good sources of insight into a	17:17:03
24	competitor's plans, intentions and	17:17:05
25	capabilities."	17:17:06

1 Right?	17:17:07
2 A. Well, if Mr. Russo is paraphrasing	17:17:08
3 there, it's unclear specifically what Mr. Ron	17:17:09
4 was referring to. But I can state that our	17:17:13
5 team did not engage any supply chain members	17:17:15
6 that I am aware of in order to gain insight	17:17:20
7 into plans, intentions and capabilities.	17:17:26
8 Q. Okay.	17:17:32
9 And did any of Uber's vendors do	17:17:32
10 that, to your knowledge?	17:17:34
11 A. Not to my knowledge.	17:17:37
12 Q. Is there any reason for you to	17:17:39
13 believe that there was a vendor engaged by Uber	17:17:41
14 that spoke with supply chain vendors or	17:17:45
15 suppliers to any autonomous vehicle competitor	17:17:50
16 of Uber's?	17:17:54
17 A. Not to my knowledge. To my	17:17:55
18 knowledge, SSG isn't even aware of who the	17:17:57
19 supply chain members are related to Waymo.	17:18:01
Q. Did you ever make any effort to	17:18:04
21 identify who the supply chain members to Waymo	17:18:06
22 are?	17:18:08
23 A. Nope.	17:18:10
Q. Did Mr. Ron ever tell you or	17:18:10
25 Mr. Levandowski ever tell you who they were?	17:18:12

		Page 305
1	A. I have seen iterations of this	17:28:33
2	document. I don't know whether I've seen this	17:28:35
3	version specifically.	17:28:37
4	Q. There were multiple versions of	17:28:38
5	this document?	17:28:40
6	A. There were it was an ongoing	17:28:41
7	project by Anna Chung to create this document.	17:28:44
8	So in her progression of creating it, there	17:28:48
9	were multiple drafts as she as she worked	17:28:52
10	through the process of building it.	17:28:55
11	Q. What was the purpose of this	17:28:57
12	document?	17:28:58
13	A. The purpose of this document was	17:29:06
14	to establish standards for for conducting	17:29:06
15	Internet and virtual research against persons	17:29:13
16	or groups of interest, which for us is a a	17:29:21
17	term used in physical security to mean	17:29:24
18	individuals who are threatening Uber or Uber	17:29:26
19	equities or resources.	17:29:30
20	Q. Was the purpose of this document	17:29:31
21	solely to establish standards with respect to	17:29:34
22	doing research in connection with individuals	17:29:42
23	or groups who are threatening Uber?	17:29:47
24	A. Yes. That's what a POI or a GOI	17:29:49
25	is in our vernacular.	17:29:52
1		

		Page 306
1	Q. Okay.	17:29:55
2	So a POI or GOI refers only to	17:29:56
3	folks who are potential threats to Uber?	17:30:00
4	A. Correct. The physical security	17:30:02
5	team maintains a list of persons of interest	17:30:04
6	and groups of interest because of some sort of	17:30:06
7	a threatening posture threats that they've	17:30:08
8	actually made to the company, physical issues	17:30:12
9	that have been observed on the platform,	17:30:15
10	something to that effect all over the world.	17:30:17
11	And so this virtual ops capability playbook is	17:30:21
12	in support of what would be, you know,	17:30:26
13	misattributable research into those types of	17:30:30
14	entities to protect Uber, to protect the	17:30:33
15	identity of the researcher.	17:30:38
16	Q. Let me show you what was marked as	17:30:41
17	Exhibit 9231.	17:30:43
18	A. Okay.	17:30:45
19	Q. Have you seen this document	17:30:45
20	before?	17:31:02
21	A. I've I've seen I have not	17:31:04
22	seen the document, but I've seen I believe	17:31:06
23	I've seen the presentation.	17:31:08
24	Q. Were there other iterations of	17:31:10
25	this presentation that you're aware of?	17:31:13
1		

		Page 319
1	activities or how they changed or did	n't 17:56:45
2	change.	17:56:47
3	Q. Let me show you Exhibit	9204. 17:56:49
4	Have you seen this document before?	17:57:05
5	A. I don't recall seeing th	is 17:57:07
6	document, no.	17:57:08
7	Q. Is this do you know w	hether 17:57:11
8	someone in your group created this?	17:57:12
9	A. My guess is that someone	in my 17:57:15
10	group did create this as it related t	o SSG 17:57:16
11	attending CES 2017.	17:57:20
12	Q. That's what you were men	tioning 17:57:22
13	earlier; right?	17:57:24
14	A. Yes.	17:57:25
15	Q. Do you see under priorit	ies of 17:57:26
16	collection, there is a number numb	per of 17:57:27
17	items?	17:57:29
18	A. Yes.	17:57:29
19	Q. Number one is source cod	e? 17:57:31
20	A. Yes.	17:57:32
21	Q. What do you understand t	hat to 17:57:33
22	refer to?	17:57:34
23	A. I understand that to ref	er to 17:57:35
24	interest in, you know, comments, disc	ussions 17:57:41
25	made publicly at CES related to source	e code as 17:57:45

		Page 320
1	we understood that to be an important aspect of	17:57:48
2	the success of an autonomous program.	17:57:51
3	Q. Did you have any expectation that	17:57:53
4	anyone at CES would make any comments about	17:57:55
5	source code?	17:57:59
6	A. I don't know that we necessarily	17:58:01
7	knew what to expect. But we wanted to be	17:58:02
8	prepared in understanding what would be	17:58:06
9	important.	17:58:08
10	Q. Did Mr. Ron or Mr. Levandowski	17:58:09
11	indicate that this that source code or	17:58:12
12	information about source code should be a	17:58:15
13	priority in terms of your collection efforts in	17:58:17
14	2017?	17:58:19
15	A. We attended CES prior to ever	17:58:20
16	meeting Mr. Ron or Mr. Levandowski. So CES was	17:58:25
17	more of a background research project for SSG	17:58:28
18	to get better informed about players in the	17:58:31
19	autonomous space.	17:58:33
20	Q. But you knew that source code was	17:58:34
21	important at least from the ATG group?	17:58:36
22	A. Based on the discussions we had	17:58:38
23	with our engineers in November who stated the	17:58:40
24	importance of source code to our own project,	17:58:43
25	yes.	17:58:45

	Page 321
1 Q. Did you have any expectation or	17:58:45
2 indication as to how you would obtain	17:58:49
3 information about source code at CES 2017?	17:58:52
4 A. Absolutely not.	17:58:57
5 Q. Did you	17:58:58
6 A. We had no expectation or plans to	17:58:59
7 try and obtain source code.	17:59:01
8 Q. Okay.	17:59:02
9 But, nonetheless, in this document	17:59:03
10 the first priority under collection is source	17:59:06
11 code; right?	17:59:08
12 A. I see what it says, yes. But it	17:59:10
doesn't mean that we were trying to collect it.	17:59:12
14 It meant that it was a topic of interest. The	17:59:14
15 purpose at CES is to, again, listen to public	17:59:19
16 presentations by speakers in the autonomous	17:59:27
17 space. If they happen to discuss source	17:59:29
18 code-related topics, that would have been	17:59:31
19 something to pay attention to because we	17:59:32
20 understood source code to be important.	17:59:34
Q. Prior to attending CES 2017, your	17:59:37
22 testimony is you had no actual plan on how you	17:59:40
23 would collect information about source code?	17:59:44
24 A. We had no intention or plans to	17:59:46
25 collect source code.	17:59:49

		Page 322
1	Q. Well, you had a priority for	17:59:50
2	collection listed here. Number one priority is	17:59:53
3	source code; right?	17:59:56
4	A. As a topic of conversation	17:59:58
5	publicly, it would be something that we should	18:00:02
6	pay attention to but not as a particular item	18:00:06
7	that we were trying to collect on specifically.	18:00:09
8	As it if you were inferring, you know,	18:00:14
9	collecting somebody's proprietary source code,	18:00:18
10	no, that was not our intention.	18:00:20
11	Q. I'm just reading what's in the	18:00:22
12	document. Number one priority, source code.	18:00:23
13	A. And I'm clarifying for you what's	18:00:26
14	in the document.	18:00:28
15	Q. There are a number of other items	18:00:29
16	under collection, including competitor	18:00:31
17	relationships and supply chain issues as well	18:00:34
18	as technological limitations and hurdles.	18:00:37
19	Do you see those?	18:00:40
20	A. Yes.	18:00:40
21	Q. Did you collect any information on	18:00:42
22	those subjects at CES 2017?	18:00:44
23	A. I don't believe so. My	18:00:48
24	understanding was that CES was not a valuable	18:00:49
25	use of our time. And half of us weren't able	18:00:55

			Page 323
1	to attend as	planned, so our resources were	18:01:00
2	limited.		18:01:03
3	Q.	Did Mr. Nocon and Ms. Chung attend	18:01:03
4	the conferenc	e?	18:01:06
5	Α.	Yes.	18:01:06
6	Q.	Anyone else from your team attend	18:01:08
7	the conferenc	e?	18:01:10
8	Α.	No.	18:01:10
9	Q.	Number one priority in terms of	18:01:13
10	organizations	and collecting information about	18:01:15
11	organizations	is listed as Google; is that	18:01:19
12	right?		18:01:19
13	Α.	Correct.	18:01:22
14	Q •	All right.	18:01:22
15		Do you recall receiving the report	18:01:37
16	from CES 2017	about a conversation that	18:01:39
17	Ms. Chung had	with a Korean supplier of LiDAR	18:01:45
18	systems?		18:01:50
19	Α.	I recall receiving a report. I	18:01:50
20	don't recall	receiving a report, but I do	18:01:55
21	recall the in	stance.	18:01:57
22	Q.	Do you recall that Ms. Chung	18:02:01
23	learned that	this South Korean LiDAR company	18:02:04
24	claimed to be	a potential vendor to Google?	18:02:10
25	Α.	As I recall, yes, they boasted	18:02:14

		Page 324
1	about a potential relationship.	18:02:18
2	Q. And I'll show you Exhibit 9210.	18:02:22
3	Can you confirm for me that this is the report	18:02:26
4	that we were just discussing?	18:02:29
5	A. Yes.	18:02:29
6	Q. Was this report circulated outside	18:02:49
7	of the SSG Group?	18:02:51
8	A. I do not believe it was. I	18:02:55
9	believe the nature of what is described here	18:02:58
10	was discussed with Mr. Levandowski in the	18:03:04
11	February 14 meeting, and he confirmed that the	18:03:09
12	information was garbage and not of interest.	18:03:12
13	Q. Do you know how he knew that?	18:03:16
14	A. I believe his comment was	18:03:18
15	something to the effect of:	18:03:19
16	"There are so many suppliers who	18:03:23
17	would claim to have partnerships in order to	18:03:24
18	gin-up business that it's difficult to assess	18:03:28
19	anyone as credible."	18:03:31
20	Q. Did he indicate that this	18:03:34
21	basically was a false report from the South	18:03:36
22	Korean LiDAR company?	18:03:40
23	A. I don't recall whether he deemed	18:03:44
24	it to be false. I think he questioned the	18:03:46
25	credibility because, in general, he understood	18:03:50

		Page 325
1	vendors, particularly at trade shows, to to	18:03:54
2	boast such things in order to gin-up interest	18:03:58
3	in business. And that was a certainly a	18:04:00
4	learning experience for our team, that things	18:04:03
5	of this nature were not useful.	18:04:05
6	Q. Did he suggest to you other ways	18:04:07
7	that you could collect relevant information or	18:04:09
8	information that he would find relevant with	18:04:12
9	respect to autonomous vehicle competitors?	18:04:15
10	A. No. He simply stated that this	18:04:18
11	was not a good use of time.	18:04:20
12	Q. Did he suggest other ways that you	18:04:22
13	could collect information that would be useful	18:04:24
14	to him?	18:04:26
15	A. What came out of that meeting,	18:04:26
16	again, was the priorities outlined in the	18:04:28
17	document we were discussing previously. I can	18:04:29
18	look up the number if you would like.	18:04:32
19	But 9205. That's what he outlined as the	18:04:39
20	issues that he wanted us to focus on.	18:04:42
21	Q. Do you recall working on a data	18:04:55
22	retention policy document with Craig Clark for	18:04:55
23	this SSG Group?	18:04:56
24	A. I re I don't know that I	18:04:59
25	recall vaguely.	18:05:07
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		Page 334
1	Q. To your knowledge, did the	18:15:10
2	Dropboxes used by SSG ever contain Waymo or	18:15:11
3	Google information?	18:15:15
4	A. No, not to my knowledge.	18:15:16
5	Q. To your knowledge, did the	18:15:19
6	Nextcloud system used by SSG ever contain Waymo	18:15:21
7	or Google information?	18:15:24
8	A. It's possible that some of the	18:15:33
9	documents we've discussed today were resided	18:15:34
10	in the Nextcloud system, and some of these	18:15:39
11	documents that we've discussed have referenced	18:15:41
12	Waymo.	18:15:44
13	Q. I want to draw a distinction	18:15:48
14	between documents that reference Waymo or	18:15:49
15	Google and documents that belong to Waymo or	18:15:51
16	Google.	18:15:53
17	A. Oh, I'm sorry.	18:15:53
18	Q. To your knowledge, did the	18:15:55
19	Nextcloud system used by SSG ever contain Waymo	18:15:56
20	or Google information?	18:16:00
21	A. Owned by Waymo or Google?	18:16:04
22	Q. That's right.	18:16:06
23	A. No. No, it did not.	18:16:06
24	Q. To your knowledge, did any	18:16:09
25	nonattributable or misattributable device ever	18:16:12

		Page 335
1	contain any Waymo or Google information?	18:16:16
2	A. No. It never they never	18:16:22
3	contained information to my knowledge that was	18:16:24
4	the property of Waymo or Google.	18:16:27
5	MS. CHANG: No further questions.	18:16:29
6	MR. KAPGAN: Just real quick.	18:16:31
7	FURTHER EXAMINATION	18:16:42
8	BY MR. KAPGAN:	18:16:42
9	Q. Do you stand by all the testimony	18:16:47
10	that you gave in response to my questions	18:16:48
11	today?	18:16:51
12	A. Yes.	18:16:51
13	Q. Is there any testimony that you	18:16:53
14	believe you need to change?	18:16:54
15	A. None that I can think of.	18:17:00
16	Q. And to be clear, you never did an	18:17:01
17	investigation into Mr. Levandowski's use of	18:17:04
18	Google or Waymo confidential information or	18:17:09
19	trade secrets; correct?	18:17:12
20	A. Correct.	18:17:13
21	MR. KAPGAN: No more questions.	18:17:14
22	MS. CHANG: I would just like to	18:17:17
23	state on the record that we reserve	18:17:18
24	Mr. Gicinto's right to correct any	18:17:20
25	testimony as part of his errata.	18:17:23

1 CERTIFICATE 2 3 I, PAUL J. FREDERICKSON, CA Certified Shorthand Reporter No. 13164 and 4 WA Certified Court Reporter No. 2419, do hereby certify: 5 That prior to being examined, the witness named in the foregoing deposition was by me duly sworn or affirmed 6 to testify to the truth, the whole truth and 7 nothing but the truth; 8 9 That said deposition was taken down by me in shorthand at the time and place therein named, and thereafter reduced 10 11 to print by means of computer-aided 1 2 transcription; and the same is a true, 1.3 correct and complete transcript of said 14 proceedings. I further certify that I am not 15 interested in the outcome of the action. 16 Witness my hand this 22nd day 17 of December 2017. 18 19 20 21 22 23 PAUL J. FREDERICKSON, CCR, 2.4 WA CCR 2419 CA CSR 13164 2.5 Expiration date: March 31, 2018 Page 338